



## ORIGINAL PAPER

## EFFECTS OF PRE-EXISTING FISSURES ON SURFACE MACRO-CRACK CHARACTERISTICS OF GRANITE SUBJECTED TO ELEVATED TEMPERATURES

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## ABSTRACT

In order to study thermal macro-cracks on granite surface, experiments were conducted to heat orthoclase granite samples under 25-800 °C. This paper reports a method for evaluating thermal damage of rock by indexes of thermal macro-cracks characteristics, including the width of macro-cracks, the length of macro-cracks, the density of macro-cracks and fractal analysis of macro-cracks. The major findings are as follows: (1) As treatment temperature increases from 500 °C to 800 °C, the average macro-crack width increases from 0.05 to 0.22 mm. And the average macro-crack length increases from 34.36 to 771.86 mm at 600-800 °C. In addition, the linear density is from 0.20 to 1.05 mm<sup>-1</sup> and the surface density increases from 0.07 to 1.54 mm/mm<sup>2</sup> at 600-800 °C. (2) The temperature has an important influence on the thermal fracture fractal of the granite surface. As treatment temperature increases from 600 °C to 800 °C, the fractal dimension of macro-cracks changes from 0.47 to 1.43. (3) 400-500 °C is the threshold temperature of the thermal macro-cracks generated on the granite surface and the high temperature causes the unpenetrated fissures connect with each other.

## NOTATION

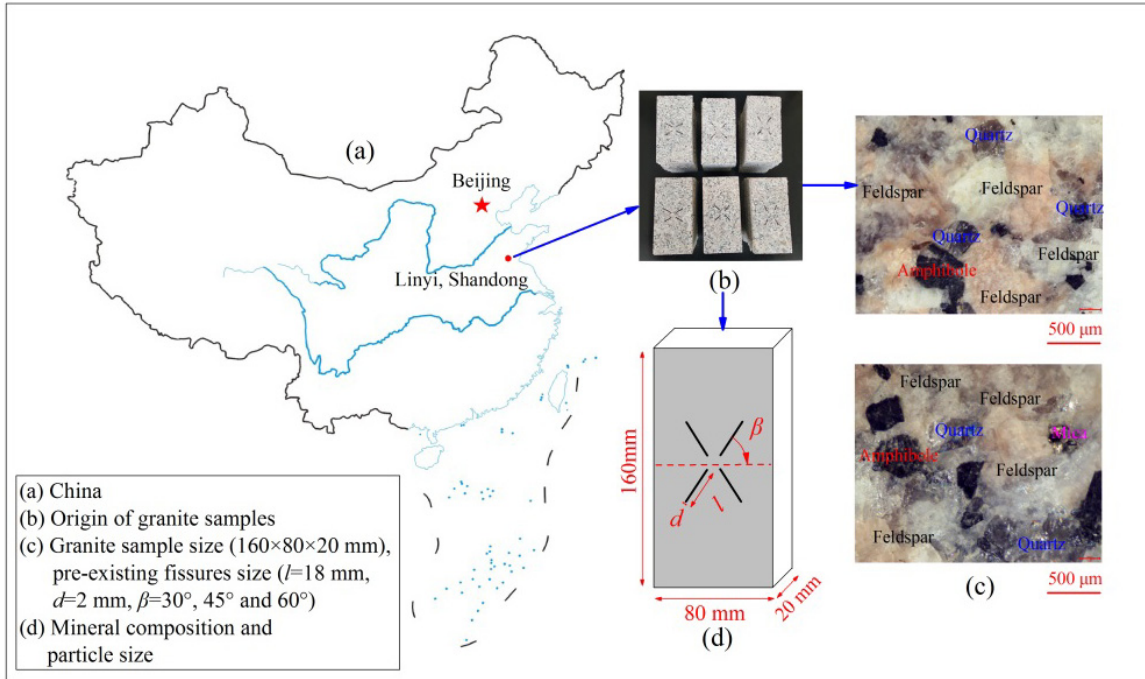
$D$	Fractal dimension
$R^2$	Coefficient of determination
$L_w$	Width of macro-cracks (mm)
$L_l$	Length of macro-cracks (mm)
$l$	Length of pre-existing fissure (20 mm)
$d$	Width of pre-existing fissure (2 mm)
$\lambda_l$	Linear density of macro-cracks (mm <sup>-1</sup> )
$n$	Number of macro-cracks at each line
$\lambda_s$	Surface density of macro-cracks (mm/mm <sup>2</sup> )
$a$	Length of macro-crack area measured (mm)
$S$	The selected area (2500 mm <sup>2</sup> )
$\beta$	Angle of fissures (30°, 45°, 60°)

## 1. INTRODUCTION

In recent years, the effect of temperature on rock fracture has been a concern. There are many application fields, such as the development and utilization of geothermal resources (Ogino et al., 1999; Zhao et al., 2011; Rickard, 2019), excavations of storage sites of high radioactive nuclear waste underground (Hudson et al., 2001; Rutqvist et al., 2005; Emirov et al., 2013; Wang et al., 2015), geothermal reservoirs (Pearson et al., 1983; Ghassemi et al., 2007), oil production and storage (Shafiei and Dusseault, 2013) and so on (Smith and Pells, 2008; Vasconcelos et al., 2008; Ozguven and Ozcelik, 2013; Tang et al., 2016). Further study indicates that fracture initial and progress induced by high temperature were

important to the stability of the rock mass (Fredrich and Wong, 1986; Meredith et al., 2001; Nasser et al., 2009; Freire-Lista et al., 2015). Extensive experiments have been carried out to investigate the failure mechanism of rock specimens in laboratory scale subjected to high temperature, especially the granite which is one of the various rock types encountered when geothermal resources development (David et al., 1999; Yoshitaka et al., 2010; Vázquez et al., 2015; Gautam et al., 2016). And many authors have done thermal tests in building stones and these thermal tests generated fissures (Wang et al., 2015; Sun et al., 2015; Freire-Lista et al., 2016; Zhu et al., 2016; Murru et al., 2018). These studies proved that the macroscopic swelling strain is the combined results of local free swelling strains and additional mechanical strains induced by these interactions. The development of new micro-cracks indicated high temperature and thermal cycles may lead to the development of new micro-cracks or growth of pre-existing micro-cracks within building stone. And they also proposed that pre-existing micro-cracks coalesced and generated further micro-cracking as decay progressed, ultimately impacting the petrophysical properties and hence the quality and durability of the material.

In fact, there are some studies which used crack density and fractal dimension to characterize the development degree of rock cracks. Fredrich and



**Fig. 1** Granite samples.

Wong (1986), Nasser et al. (2007 and 2009) and Griffiths et al. (2017) studied and calculated micro-crack densities of heated granite. There are also studies which use numerical image analysis to calculate crack geometries (Arena et al., 2014; Delle Piane et al., 2015; Griffiths et al., 2017; Wang et al., 2017). Wang et al. (2017) also perform a fractal analysis of cracking patterns. However, there were relatively few studies on surface macro-cracks of granite samples with internal defects after or under high temperature treatment. In particular, there are few scientific researches on the damage evolution law of cracks with different defects at different temperatures. In view of the shortcomings of the above researches, this paper fully considers the effect of defects on the failure of granite. The granite surface cracks were analyzed by cracks density and fractal dimension method. Based on the experimental results, some conclusions corresponding to the relationship among surface cracks, and temperature, distribution characteristics of macro-cracks and laws of macro-crack expansion were obtained that might be applicable as methods to evaluate the thermal damage and strength characteristics of granite (Zhao, 2016; Mardoukhi et al., 2017).

## 2. METHODS AND MATERIALS

### 2.1. SAMPLE PREPARATION

Granite samples that were tested were cut from a block, which were collected from Linyi, Shandong, China (see Fig. 1(a) and (b)). The x-ray diffraction analysis showed that the primary minerals are feldspar (58.4 %), quartz (32.0 %), amphibole (6.1 %), mica (3.4 %) and other small amounts of minerals, which can be classified as orthoclase granite. Most of the mineral particles of the granite have a grain size between 1 and 10 mm in diameter (see Fig. 1(c)). The samples were cut into plate

shape (i.e. 160×80×20 mm) with three types of fissures (i.e.  $\beta=30^\circ, 45^\circ, 60^\circ$ ) (see Fig. 1(d)). The total number of experimental granite samples is 48. The specimens were heated seven different temperature levels (i.e. 100, 300, 400, 500, 600, 700 and 800 °C, respectively) in furnace (type KSL-1700X) at a rate of 10 °C/min and maintained for 1 h. Then, the samples were cooled to room temperature in air condition.

### 2.2. WIDTH MEASUREMENT OF SURFACE MACRO-CRACKS

The surface of granite sample heated by high temperature is scanned, and all macro-cracks are extracted. The surface macro-cracks of granite are observed and recorded by electron microscopy (type Supereyes™). The width of macro-cracks is measured according to the scale in the electron microscopic image, and the average width of multiple cracks is measured (see Fig. 2). (Notation: Under the electron microscope, the minimum width of macro-cracks we can measure is 0.05 mm at 500 °C. The values of specimens subjected to 400 °C were therefore recorded as 0 mm.).

### 2.3. CALCULATION OF SURFACE MACRO-CRACKS DENSITY

The obtained macro-crack images were binarized with matlab. An area (50×50 mm) marked with red rectangular (see Fig. 3) was selected and used to have a statistical analysis of the macro-cracks in numbers and geometries. The macro-crack total length  $L_l$  of granite samples with heated same temperature was measured (Notation: Under the electron microscope, the minimum total length of macro-cracks we can measure is 5.5 mm at 500 °C. The values of specimens subjected to 400 °C were therefore recorded as 0 mm.). The linear density,  $\lambda_l$  and the surface density,  $\lambda_s$ , can be calculated by Eq. (1) and

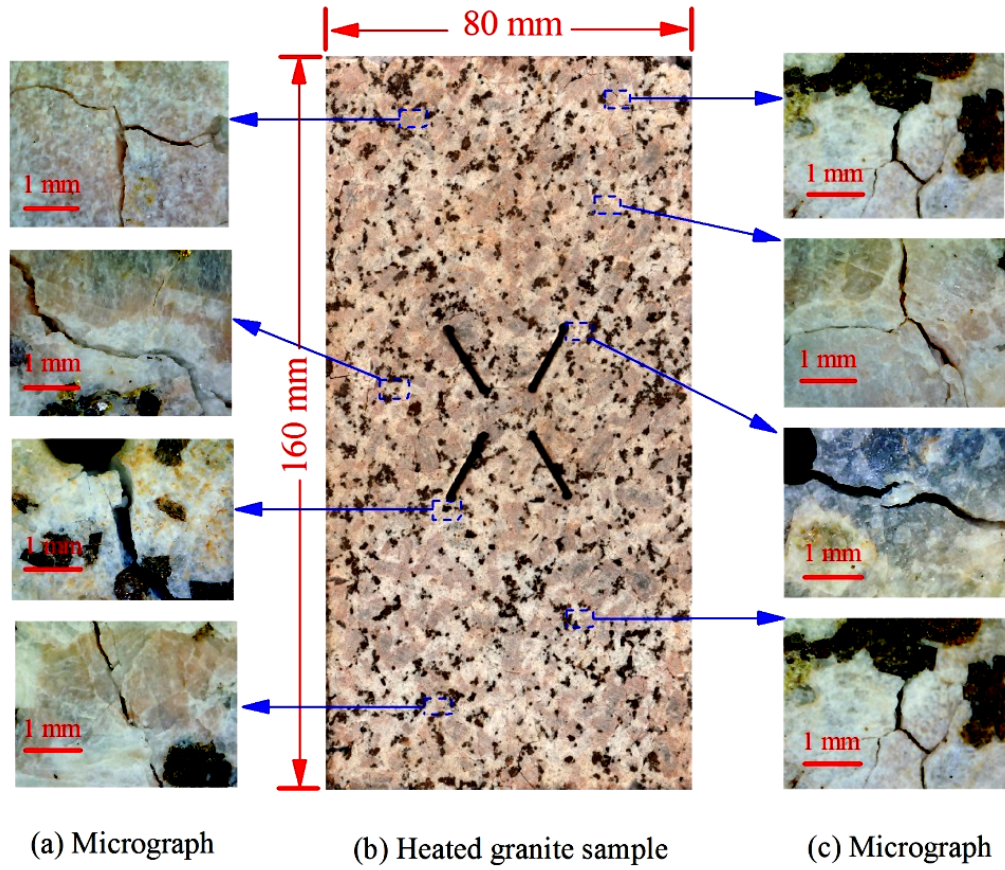


Fig. 2 Width measurement of surface macro-cracks.

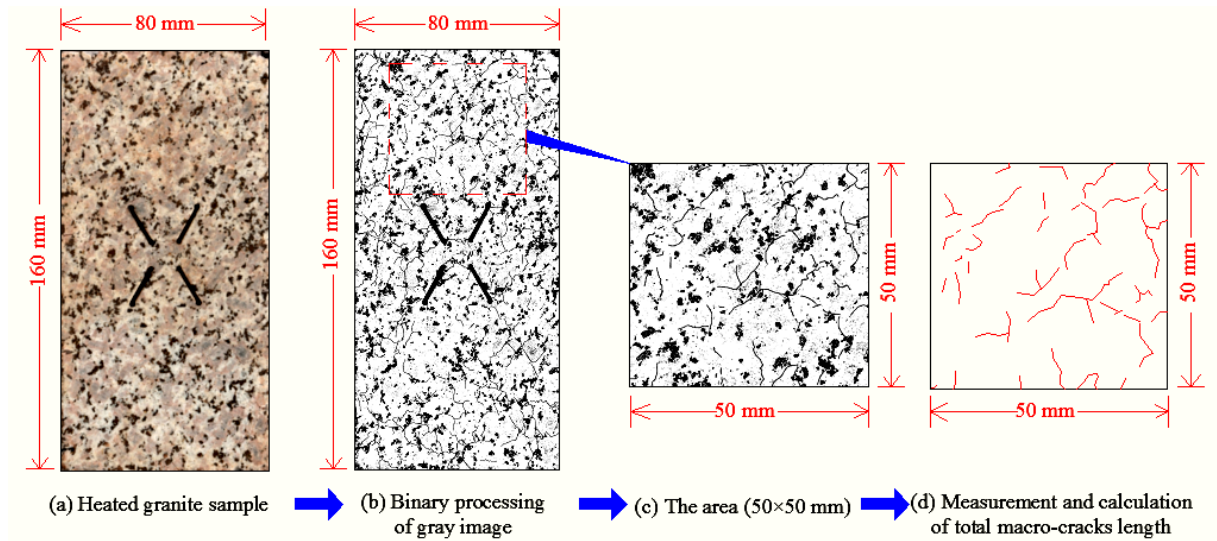


Fig. 3 Measurement and calculation of total macro-cracks length.

Eq. (2), respectively (Nasseri et al., 2007 and 2009).

$$\lambda_l = \frac{n}{a} \quad (1)$$

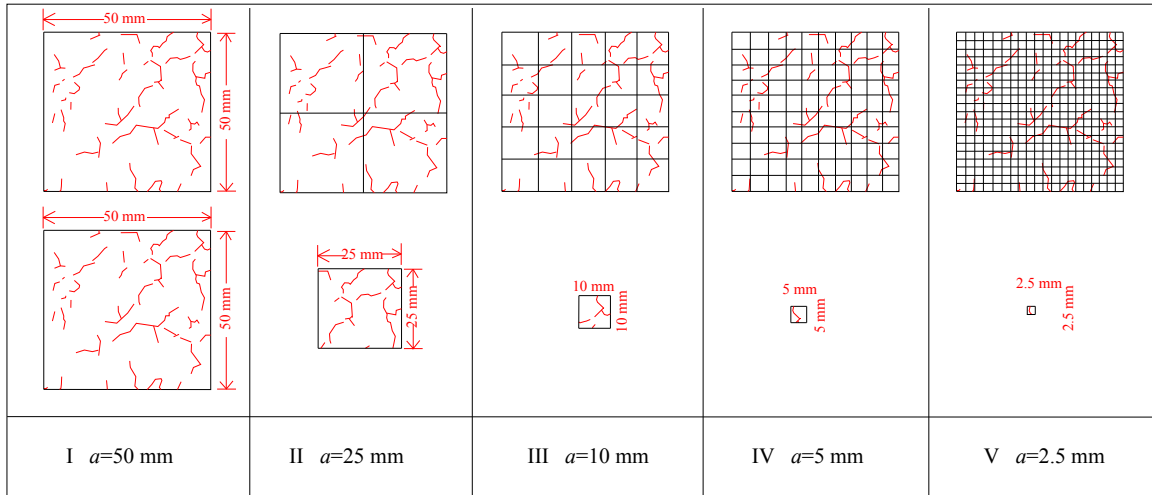
$$\lambda_s = \frac{L_l}{S} \quad (2)$$

Where,  $n$  is number of macro-cracks at each line;  $a$  are length of macro-crack area measured (mm);  $\lambda_l$  is linear density of macro-cracks ( $\text{mm}^{-1}$ );  $L_l$  is length of macro-cracks (mm);  $S$  is the selected area ( $2500 \text{ mm}^2$ );  $\lambda_s$  is surface density of macro-cracks ( $\text{mm}/\text{mm}^2$ ).

#### 2.4. CALCULATION OF SURFACE MACRO-CRACKS FRACTAL DIMENSION

Macro-cracks image extracted from Figure 3 was subdivided again, that was, the selected fissure region was segmented continuously (see Fig. 4). After measuring the total length of macro cracks, the fractal dimension of macro-cracks was calculated by Eq. (3) (Underwood, 1967; Velde, 1999; Colina and Acker, 2000; Vogel et al., 2005 a and b). The fractal dimensions of macro-cracks of granite with the same temperature treatment were averaged.





**Fig. 4** Calculation of macro-cracks fractal dimension.

**Table 1** The surface macro-crack indices of granite after elevated temperature treatment.

Temperature (°C)	$\beta$ (°)	$D$	$R^2$	$L_w$ (mm)	$L_l$ (mm)	$\lambda_l$ (mm <sup>-1</sup> )	$\lambda_s$ (mm/mm <sup>2</sup> )
500	30	-	-	0.06	6.12	0.00	0.00
	45	-	-	0.06	5.28	0.00	0.00
	60	-	-	0.05	5.10	0.00	0.00
600	30	0.47	0.895	0.09	31.38	0.23	0.06
	45	0.45	0.760	0.08	36.83	0.20	0.07
	60	0.50	0.678	0.08	34.86	0.17	0.07
700	30	0.58	0.989	0.14	94.13	0.43	0.19
	45	0.61	0.929	0.14	79.26	0.33	0.16
	60	0.62	0.947	0.14	70.88	0.30	0.14
800	30	1.40	0.988	0.22	966.11	1.28	1.93
	45	1.45	0.985	0.22	696.94	0.97	1.39
	60	1.45	0.982	0.22	652.53	0.90	1.31

$$D = \frac{\log L_l}{\log a} \quad (3)$$

Where,  $L_l$  is length of macro-cracks (mm);  $a$  are length of macro-crack area measured (mm);  $D$  is fractal dimension.

### 3. RESULTS

#### 3.1. SURFACE MACRO-CRACKS IN RED RECTANGULAR AREA

Table 1 presents the statistical results of granite specimens with different flaw inclination angles after elevated temperature treatment in red rectangular area the fractal dimension,  $D$ , coefficient of determination,  $R^2$ , the width of macro-cracks,  $L_w$ , the total length of macro-cracks,  $L_l$ , the linear density,  $\lambda_l$ , the surface density,  $\lambda_s$ .

Under the electron microscope, no macro-cracks were found at 400 °C. Therefore, the values of specimens subjected to 400 °C were recorded as 0 mm. At 500 °C, there are a few macro-cracks on the surface of granite, and mostly around prefabricated fissures and edge of slate granite. With increase of temperature, the surface macro-cracks of granite develop continuously. What's more, macro-crack

coalescence phenomenon was observed when the specimens subjected to 700 °C, which induced the formation of the network macro-cracks as temperature reached at 800 °C (see Fig. 5).

#### 3.2. THE WIDTH OF SURFACE MACRO-CRACKS

Figure 6 indicates that the width of surface macro-cracks increased as temperature increased, especially when the temperature exceeded 600 °C. Due to the minimum width of surface macro-cracks that could be measured was 0.05 mm, the values of specimens subjected to 400 °C were therefore recorded as 0 mm. Table 1 shows that the widths of the surface macro-cracks of specimens subjected to 500 °C with  $\beta = 30^\circ$ ,  $45^\circ$  and  $60^\circ$  were 0.06, 0.06 and 0.05 mm, respectively, whose mean value was 0.06 mm. Following the same calculating procedure, the mean values of the widths of the surface macro-cracks of specimens subjected to 600 °C, 700 °C and 800 °C were 0.08, 0.14 and 0.22 mm, respectively, which were in ascending order.

#### 3.3. THE DENSITY OF SURFACE MACRO-CRACKS

Figure 7 shows that the linear density and surface density of the surface macro-cracks both

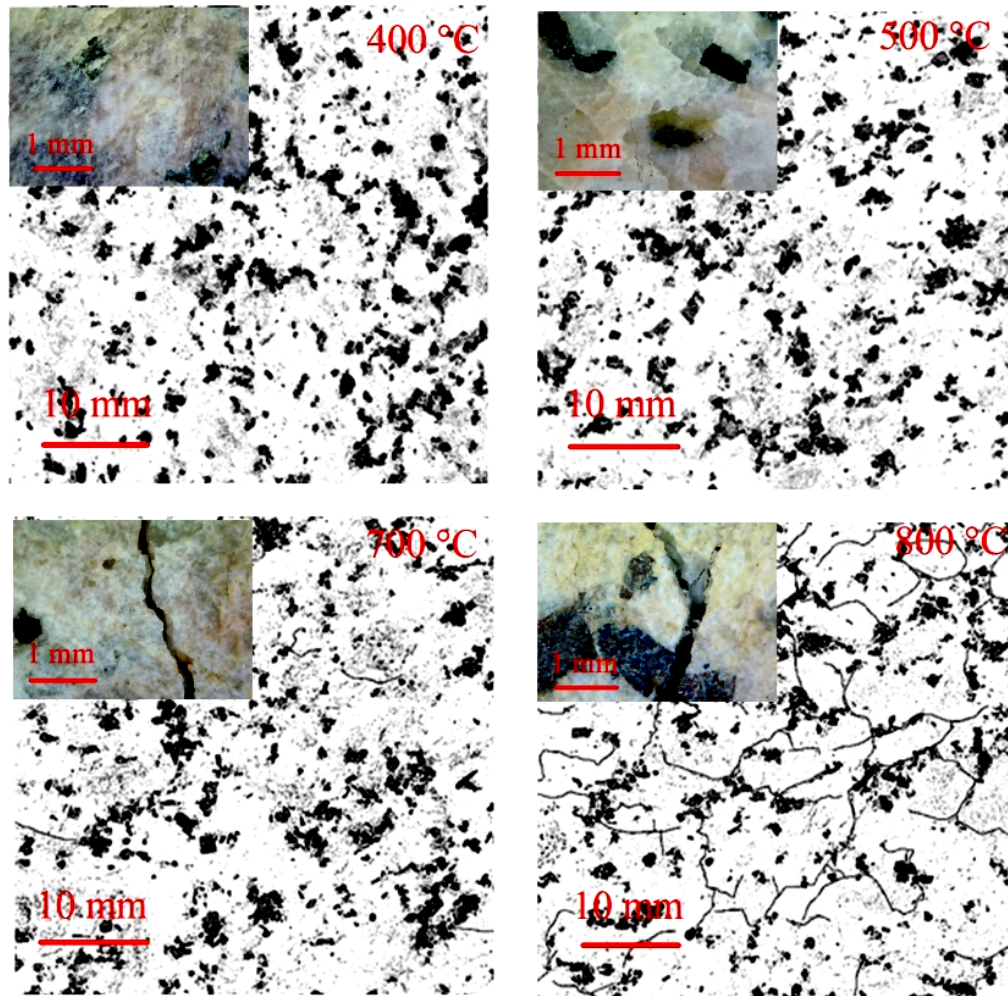


Fig. 5 Surface macro-cracks of granite treated by different temperatures.

increased as temperature increased, especially when the temperature exceeded 700 °C. Due to the limitation of measure equipment, the linear density and surface density of specimens subjected to 400 °C, values were both recorded as 0. Table 1 shows that the liner density of the surface macro-cracks of specimens subjected to 600 °C with  $\beta = 30^\circ$ ,  $45^\circ$  and  $60^\circ$  were 0.23, 0.20 and  $0.17 \text{ mm}^{-1}$ , respectively, corresponding mean value was  $0.20 \text{ mm}^{-1}$ . As temperature increased to 700 °C and 800 °C, the mean values of linear density significantly increased to 0.35 and  $1.05 \text{ mm}^{-1}$ . Following the same comparison process, the mean values of the surface density of the surface macro-cracks of specimens subjected to 600 °C, 700 °C and 800 °C were 0.07, 0.17 and  $1.54 \text{ mm/mm}^2$ , respectively, which were in ascending order.

### 3.4. SURFACE MACRO-CRACK FRACTAL DIMENSION

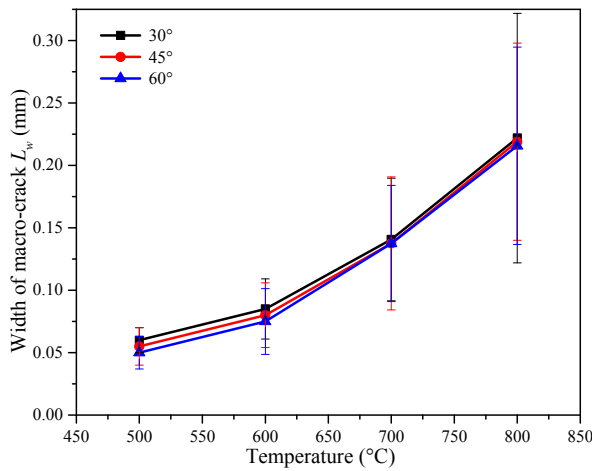
Figure 8 and Table 1 show that, with elevated treatment temperature, the more macro-cracks are developed, the greater the fractal dimension  $D$  is calculated. When temperature is 600 °C, the fractal dimension is 0.47 (as shown in Fig. 8(a)). The fractal dimension is 0.60 at 700 °C (see Fig. 8(b)). It can also be seen that fractal dimension of granite macro-cracks increases sharply after 700 °C. The fractal dimension increases to 1.43 at 800 °C (as shown in Fig. 8(c)).

Figure 8(d) is the average fractal dimension of granite with three types of pre-existing fissures. It can be clearly seen from it that the average fractal dimension of macro-cracks on the surface of granite increases with different treatment temperatures.

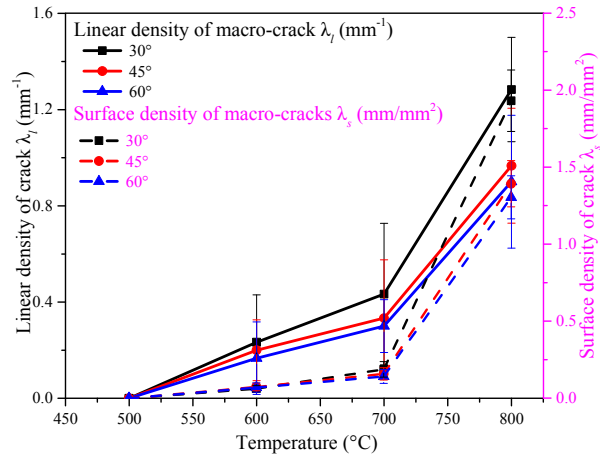
## 4. DISCUSSION

Through analyzing the previous research results, this increase in macro-crack width, density and fractal dimension of heated granite is mainly caused by the variation of internal minerals and structure induced by heat (Gomez-Heras et al., 2009). According to the previous research results (Nasseri et al., 2007 and 2009), Width and density of macro-cracks are often used to evaluate rock damage. The evolution of intra-granular (IG) and grain boundary micro-crack (GB) widths for Westerly granite heated by 25-850 °C has a consistent upward trend with our study (as shown in Fig. 9, Nasseri et al., 2007). The micro-cracks density of granite increases with increase of temperature and changes sharply after 600 °C, which has a consistent upward trend with macro-cracks density in our study (as shown in Fig. 10, Nasseri et al., 2009 and Griffiths et al., 2017).

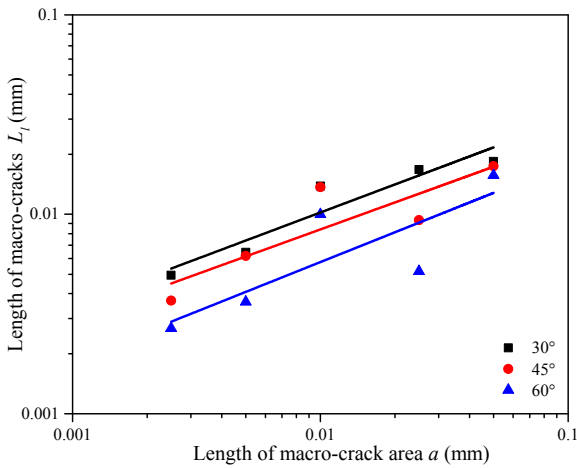
In this study, granite is composed of minerals with different thermal expansion coefficients. Due to significant amounts of thermally induced damage in the form of grain-grain boundary micro-cracking,



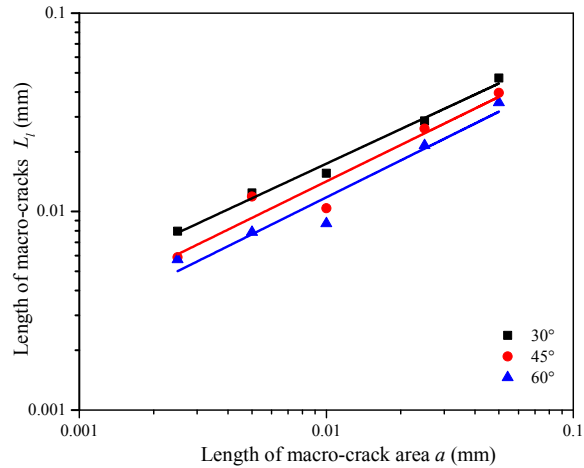
**Fig. 6** Surface macro-crack width of granite after elevated temperature treatment.



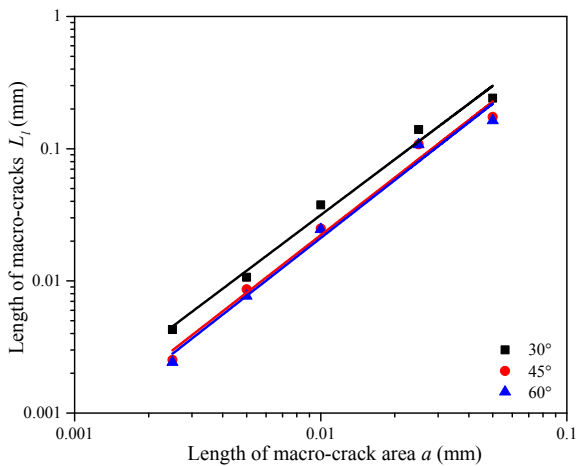
**Fig. 7** Linear density and surface density of surface macro-cracks of granite after elevated temperature treatment.



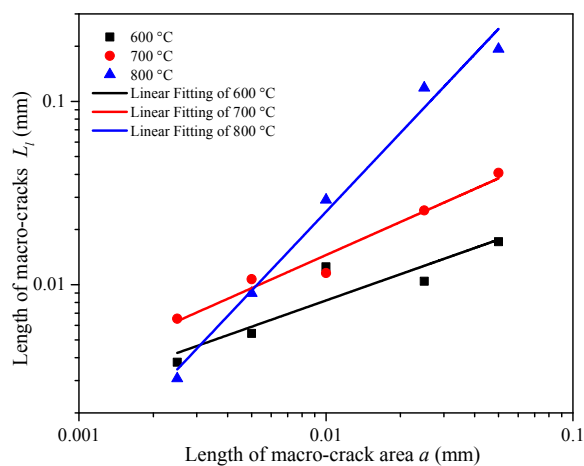
(a)



(b)



(c)



(d)

**Fig. 8** Fractal dimension of surface macro-cracks of granite after elevated temperature treatment. (a) 600 °C; (b) 700 °C; (c) 800 °C; (d) The average fractal dimension of granite with three types of pre-existing fissures at each temperature.

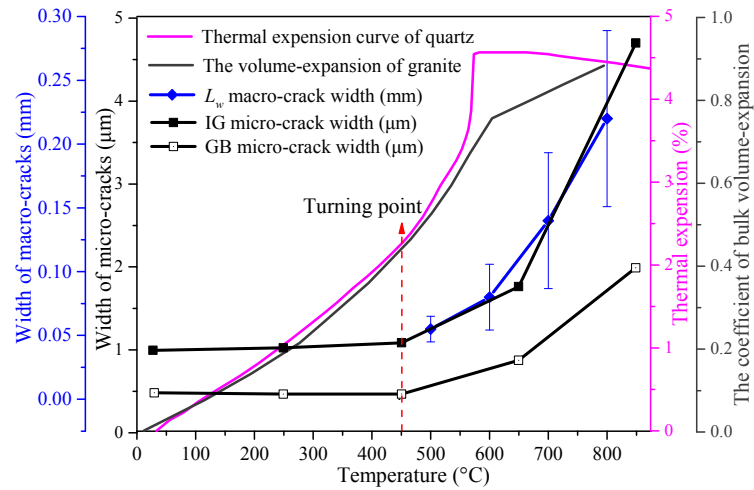
mineral particles in granite samples will expand unevenly with increase of temperature (Liu and Xu, 2014; Freire-Lista et al., 2015; Sun et al., 2016; Freire-Lista et al., 2016; Zhang et al., 2016). When thermal stress reaches or exceeds the local strength, due to differences in the expansion coefficients

between constituent minerals or even within the same mineral, granite is unable to adapt quickly enough to accommodate the strain generated during cooling, and the connection between the mineral particles would be destroyed (Hall, 1999; Hale and Shakoor, 2003; Yoshitaka et al., 2010; Yavuz, 2011; Demirdag, 2013;

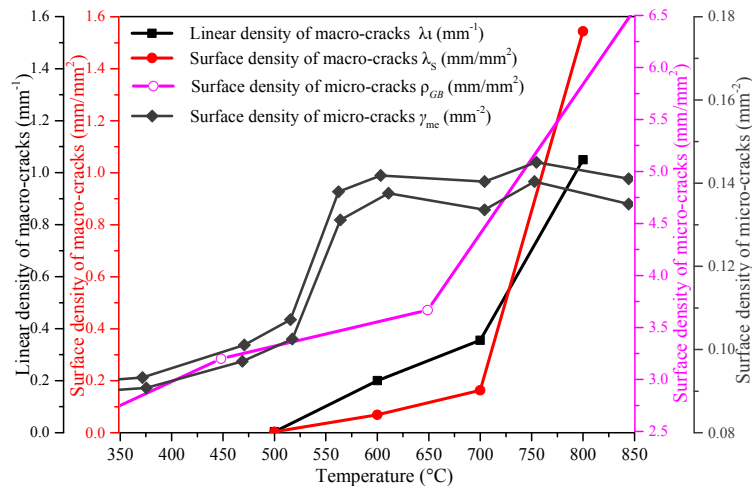
Hall and Thorn, 2014). For example, due to the quick expansion with increasing temperature and the transformation of quartz from alpha quartz to beta quartz at about 573 °C and atmospheric conditions (Somerton and Boozar, 1961; Bauer and Handin, 1983), internal defects would grow and change the internal properties of granite, and the thermal expansion of granite would increase and change sharply about 550-600 °C (as shown in Figs. 9, 10 and 11, Bauer and Handin, 1983; Alm, 1985; iu and Xu, 2014; Sun et al., 2015; Sun et al., 2016). Therefore, the macro-cracks will appear and expend so that the macro-crack width and density will increase. In addition, there are many studies on dry cracks of clay by using fractal dimension of macro-cracks (Velde, 1999; Colina and Acker, 2000; Vogel et al., 2005 a and b), which can describe well the relation of cracks and dry days. However, few studies about

macro-cracks of heated rocks have been analyzed by using fractal dimension. In this study, we would use fractal dimension  $D$  to describe the development characteristics of macro-cracks with different temperature (as shown in Fig. 12).

Thermal macro-cracks expanded on the basis of pre-existing fissures during high temperature (as shown in Fig. 13). With elevated temperature treatment, cracks expand along specific directions with a certain angle between macro-cracks and pre-existing fissures. And numbers of granite surface macro-cracks distributing around pre-existing fissures increase constantly. At the end of pre-existing fissures, macro-cracks gradually develop until they transfix with each other as temperature continues to rise (Nasseri et al., 2009; Wang et al., 2015; Sun et al., 2015; Freire-Lista et al., 2015 and 2016).



**Fig. 9** Average macro-crack width of granite after elevated temperature treatment (The thermal expansion data of quartz from Somerton and Boozar (1961); The coefficient of bulk volume-expansion of granite from Bauer and Handin (1983); The data of evolution of intra-granular (IG) and grain boundary micro-crack (GB) widths for Westerly granite from Nasseri et al. (2007)).



**Fig. 10** Surface macro-crack density of granite after elevated temperature treatment (The micro-cracks density data  $\rho_{GB}$  of granite from: Nasseri et al. (2009); The micro-cracks density data  $\gamma_{me}$  of granite from: Griffiths et al. (2017)).



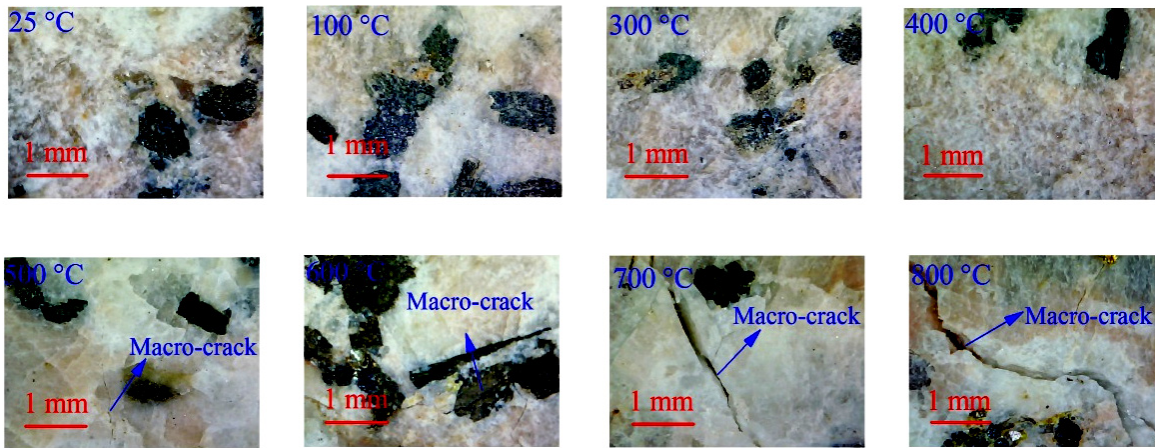


Fig. 11 Surface macro-cracks of granite after elevated temperature treatment.

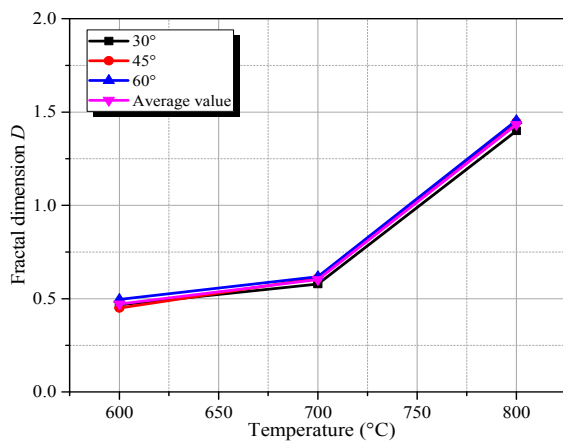


Fig. 12 The relations between treatment temperature and fractal dimension.

In summary, this increase in macro-cracks width, density and fractal dimension of heated granite is caused by mineral particles with different thermal expansion coefficients and thermo-elastic characteristics. High temperature would lead to inhomogeneous thermal expansion of mineral particles or phase transition of some mineralogical components, generating internal stress and macro-cracks in granite. In addition, pre-existing fissures are more likely to lead to the further development of internal fissures in granite. At the same time, it can be seen from the above analysis that different pre-existing fissures angles have little effect on macro-crack width, density and fractal characteristics.

## 5. CONCLUSION

It can be inferred that in this study, due to different thermal expansibility for minerals in granite, the surface of granite will occur to crack due to thermal damage in the process of high temperature.

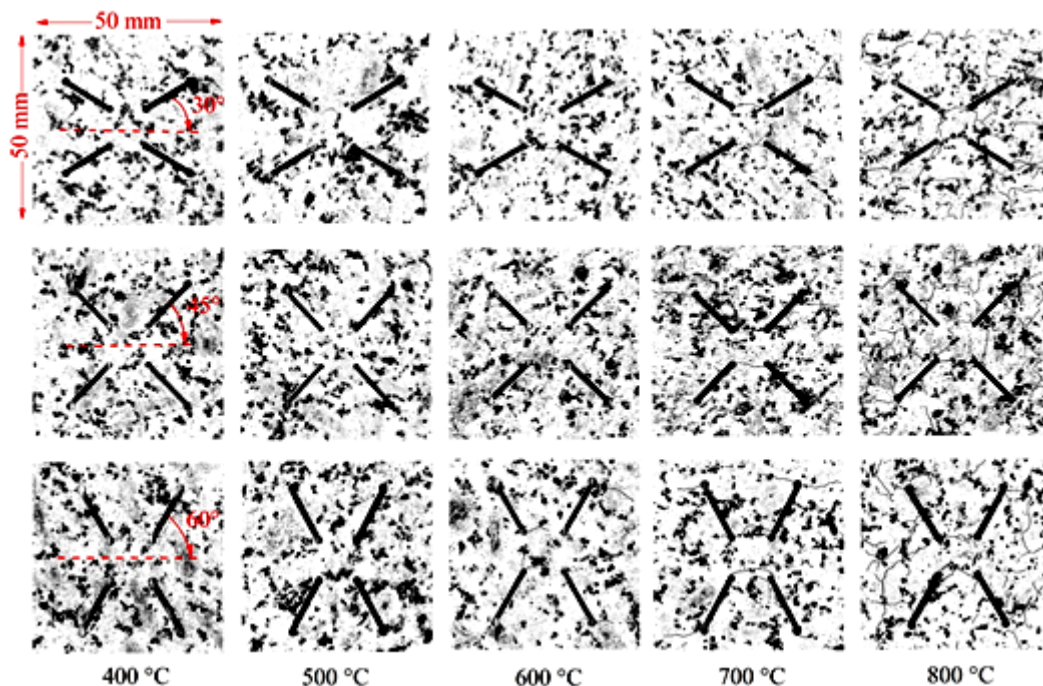


Fig. 13 Distribution of surface macro-cracks of granite specimens with different flaw inclination angles after elevated temperature treated in pre-existing fissure area.



Macro-cracks patterns on surface granite can be characterized by a few parameters: width of macro-cracks  $L_w$ , length of macro-cracks  $L_l$ , surface macro-cracks density  $\lambda_s$ , linear macro-cracks density  $\lambda_l$ , fractal dimensions  $D$  and relative treatment temperature. This greatly facilitates the comparison of macro-crack extension in different temperature. The major findings are as follows:

1. As treatment temperature increases from 500 °C to 800 °C, the average macro-crack width  $L_w$  increases from 0.05 to 0.22 mm. And the average macro-crack length  $L_l$  increases from 34.36 to 771.86 mm at 600-800 °C. In addition, with temperature increasing from 600-800 °C, the linear density  $\lambda_l$  is from 0.20 to 1.05 mm<sup>-1</sup>, and the surface density  $\lambda_s$  increases from 0.07 to 1.54 mm/mm<sup>2</sup>.
2. From the experimental results, we can see that the temperature has an important influence on the thermal fracture fractal of the granite surface. The higher the temperature, the greater the fractal value of the thermal macro-cracks. As treatment temperature increases from 600 °C to 800 °C, the fractal dimension  $D$  of macro-cracks changes from 0.47 to 1.43.
3. 400-500 °C is the threshold temperature of the thermal macro-cracks generated on the granite surface, and the thermal macro-cracks first appears around the precast fissure, and the high temperature causes the unpenetrated fissures connect with each other.

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