OPTICAL INVESTIGATIONS OF La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$  
($x = 0.00$, $0.05$ and $0.10$) PROBED BY SPECTROSCOPIC ELLIPSMOMETRY

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Using spectroscopic ellipsometry, we have studied the optical properties of doped manganites at the paramagnetic state in polycrystalline La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$ samples for ($x = 0.00$, $0.05$ and $0.10$) in the energy range of 3.2-5.5 eV at room temperature. The surface morphology of the samples was obtained by using atomic force microscopy (AFM). Refractive indices, extinction coefficients, the transmission ellipsometric parameters $\Psi$ and $\Delta$ are investigated at different wavelengths. The study of the optical conductivity $\sigma$ reveals that optical behaviour and the activated transport in the paramagnetic state of these materials are consistent with Jahn-Teller small polaron. In addition, the spectrum of the complex dielectric constant $\varepsilon$ reveals peaks for all samples, the results may be explained by the presence of space charges from the strongly dipole-allowed O(2p)$^-$Mn(3d) transition.

INTRODUCTION

Since the discovery of the extraordinary high magnetoresistance effect in the films of La$_{0.7}$Ba$_{0.3}$MnO$_3$ by Helmolt et al [1], properties of doped manganites have been focal point of the research in correlated electronic systems. Divalent cation doping induces a change from Mn$^{3+}$ to Mn$^{4+}$. The induced holes in the $e_g$ level create a mixed-valence system. Optical spectroscopy, in both single crystals and thin films, has contributed to unravel the complex physics and provided insight into the importance of electron-phonon coupling arising from Jahn-Teller (JT) distortions for modelling the optical conductivity in the manganites [2, 3]. The JT lattice distortion, in particular, is thought to be larger in the paramagnetic (PM) phase (above the Curie temperature $T_C$). Thus, the MnO$_6$ octahedra are highly distorted for $T > T_C$ [4, 5]. This effect can be explained by changing the average of the atom at the A and/or B site.

The spectroscopic ellipsometry (SE) is a very powerful and non-destructive technique to investigate optical properties of the both bulk materials and thin films. In this paper by using the (SE), we’ve studied the effect of monovalent K$^+$ ion substitution for divalent Ca$^{2+}$ ion on the optical properties of polycrystalline La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$ compounds, at room temperature for the (PM) semiconductor phase. Results of investigations of magnetic and electric properties of these compounds have been reported in recent papers [6, 7].

Ellipsometers reflect polarized light from a thin film, multilayer, or bulk material and detect the change in polarization introduced by the sample. The measurement is expressed as $Y$ and, $D$ which are related to the Fresnel reflection coefficients by: [8-10]

$$\rho = \frac{r_p}{r_s}$$

where $r_p$ is the ratio of the E-field amplitudes after and before reflection of light with E-field in the plane of incidence. And $r_s$ is the same, but for light with the E-field perpendicular to the plane of incidence.

In addition, $\Delta$ is related to the relative phase shift of the parallel and perpendicular polarization components of light on reflection and $\Psi$ is related to the change of the amplitude ratio of the two components. The angles $\Psi$ and $\Delta$ are such as ($0 \leq Y \leq \pi/2$, $0 \leq D \leq 2\pi$).

First, ellipsometric measurements for the single crystalline LaMnO$_3$ samples were performed by Loshkareva et al [11] at room temperature and for the spectral range 1.0-5.0eV. Later on, the ellipsometric measurements were performed for an untwined crystal of LaMnO$_3$ by Kovaleva et al. [12] in a wide temperature range. The authors have presented a detailed quantitative analysis of the pronounced redistribution of the spectral weight (SW) near the Néel temperature. They concluded that the low-energy optical transition around 2 eV consists of three distinct bands all assigned to intersite d-d transitions, and that LaMnO$_3$ is Mott-Hubbard...
rather than a charge transfer p-d insulator as argued earlier [13]. A similar interpretation of spectral features near 2 eV observed in multiferroic TbMnO$_3$ has been reported very recently by Bastjan et al [14]. In addition G. J. Babonas et al [15] have studied electronic transitions and optical features in ErMnO$_3$ sample with ellipsometric method.

**EXPERIMENTAL PROCEDURES AND THEORETICAL ESTIMATIONS**

Powder samples of La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$ (x = 0.00, 0.05 and 0.10) were synthesized using the standard solid-state reaction method at high temperature, by mixing La$_2$O$_3$, CaCO$_3$, K$_2$CO$_3$ and MnO$_2$ up to 99% purity in the desired proportions, The starting materials were intimately mixed and heated in air at 1273 K for 72 hours. The obtained powders were pressed into pellets, under 4 tonnes/cm$^2$ of (7-8mm in diameter, 1mm thickness) and sintered at 1373 K in air for 60 hours with intermediate regrinding and repelling. Finally, these pellets were rapidly quenched to room temperature in air in order to freeze the structure at the annealed temperature.

The polycrystalline La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$ compounds were characterised by X-ray diffraction (XRD) at room temperature. The XRD results are discussed and presented in our previous work [6]. We have found that for x = 0.00 and 0.05, compounds present an orthorhombic structure with Pnma space group. This structure becomes rhombohedral with R3c space group for x = 0.10 [6].

An atomic force microscope (AFM) was used at room temperature to resolve the characteristics of the surface.

Spectroscopic ellipsometry measurements on the samples were performed at room temperature using an automatic ellipsometer SOPRA GES 5E. The system uses a 75 W xenon lamp (185-2000nm), a rotating polarizer, an auto tracking analyzer, a double monochromator, and a single-photon-counting photomultiplier detector. Data were collected in a spectral range of 225.6-387.8 nm (3.2-5.5 eV) at an angle of 70°. Most surfaces are not perfectly smooth at an microscopic level. The surface roughness has a great influence on the measured data and one might wonder: how is the angle of incidence defined for a rough surface?

To estimate the error on $\tilde{\rho}$ it is possible to calculate the relative error $\partial \Delta / \partial \theta$ and $\partial \Psi / \partial \theta$ on a digital computer.

For that, if $\rho$ is known, $\Psi$ and $\Delta$ can be determined by separating Equation (1) into its real and imaginary parts, i.e.,

$$\text{Re}(\rho) + j \text{Im}(\rho) = \tan \Psi \cos \Delta + j \tan \Psi \sin \Delta$$  \hspace{1cm} (2)

where $\text{Re}(\rho)$ and $\text{Im}(\rho)$ are the real and imaginary parts of $\rho$, respectively.

For a clean isotropic surface, the ratio of the reflection coefficients is given by [16].

$$\tilde{\rho} = \frac{\sin \theta \tan \theta - (\hat{n}^2 - \hat{n}_0^2 \sin^2 \theta)j}{\sin \theta \tan \theta + (\hat{n}^2 - \hat{n}_0^2 \sin^2 \theta)j}$$  \hspace{1cm} (3)

where $\hat{n}_0 = n_0 + jk_0$ is the complex refractive index of the medium in which the specimen is immersed, and $\hat{n} = n + jk$ is the complex refractive index of the specimen.

Taking the partial derivative of Equation (3) with respect to $\theta$, we obtain:

$$\frac{\partial \hat{n}}{\partial \theta} = 2 \sin \theta \left[ \frac{\tan \theta (\hat{n}^2 - \hat{n}_0^2 \sin^2 \theta) + 2n^2 (\hat{n}^2 - \hat{n}_0^2 \sin^2 \theta) \sin 2 \theta}{(\hat{n}^2 - \hat{n}_0^2 \sin^2 \theta)^2 [\sin \theta \tan \theta + (\hat{n}^2 - \hat{n}_0^2 \sin^2 \theta)j]^2} \right]$$  \hspace{1cm} (4)

The error of $\rho$ due to changes of the angle of incidence was estimated on a level of 1%.

The calculation of the real and imaginary parts of $\tilde{\rho}$ is easily performed on a digital computer.

The optical constants of clean surfaces are derived from absolute values of the ellipsometric parameters $\Delta$ and $\Psi$.

From ellipsometric measurements one can determine the index of refraction $n$ and the extinction coefficient $k$ which represent the real and imaginary part of the complex refractive index $\hat{n}(\nu) = n(\nu) + ik(\nu)$ [17,19] where $\nu$ is the frequency of the incident light.

**RESULTS AND DISCUSSION**

**AFM measurements**

The AFM images in Figure 1 show cross-sections of representative samples (La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$ (x = 0.00, 0.05 and 0.10). We have observed smaller grain sizes in samples with higher x. Moreover, the cross-sections through the samples show that the root mean square (RMS) values are 2300 nm, 2000 nm, and 667 nm and the average peak-to-valley distance (APVD) is 756 nm, 750 nm, and 725 nm for (x = 0.00, 0.05 and 0.10) respectively. Therefore, the results show that RMS and APVD decrease with increasing K content.

Complex refractive indices

The complex refractive index determined from ellipsometric measurements $\hat{n} = n + jk$ of La$_{0.7}$Ca$_{0.3-x}$K$_x$ MnO$_3$ (x = 0.00, 0.05 and 0.10) is plotted versus energy $E$ in Figures 2a-c. Comparing the optical constants of three samples of La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$ (x = 0.00, 0.05 and 0.10) for any energy, we can find that the refractive index $n$ increases due to increased K doping. Simultaneously, a maximum value of $n$ shifts to higher energy. The absorption edges of the samples determined from the spectra of the extinction coefficient in Figure 2 slightly shift to higher energies. These curves show that the transparent range changes with K doping, but the absorption edges do not almost
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The complex dielectric constant $\varepsilon$ is given by:

$$\varepsilon = \varepsilon_1 + i\varepsilon_2 = (\tilde{n})^2 = (\tilde{n} + i\kappa)^2 \quad [17, 19]$$

From which arise,

$$\varepsilon_1 = n^2 - k^2 \quad (5)$$
$$\varepsilon_1 = 2nk \quad (6)$$

Figure 3 shows photon energy dependencies of the real and imaginary parts of the dielectric function, extracted from our ellipsometric data. From Figure 3 one can see that the spectra of $\varepsilon$ have globally the same variation as the complex refractive index that complex index $\tilde{n}$. The curves in Figure 3 show similar features as those published elsewhere [11], [12].

These results are in good agreement with those of other $ABO_3$-type perovskite compounds. Because of the similar $BO_6$ (for this work $MnO_6$) octahedron unit structure, they have analogous energy band structure determining the refractive indices [18-21].

Spectral dependence of the refractive index in Figure 2 also reveal peaks in UV band of photon energy. The spectra of the refractive-index in Figure 2 show that the refractive-index dispersion in the samples is not monotone. The different form of the curve in Figure 2c can be explained structural transition to the rhombohedral phase [6].

Figure 1. AFM cross-sectional scans of $La_{0.7}Ca_{0.3-x}K_xMnO_3$ ($x = 0.00, 0.05$ and $0.10$), at room temperature.
The data in Figure 3a reveal that the dispersion phenomenon for the sample \( x = 0.1 \) is different from those measured for the samples \( x = 0.05 \) and 0.1. This result is explained by the structural transition to the rhombohedral phase [6].

The curves in Figure 3b displays that the absorption increases for the low-energy optical band around 3.5 eV. However, it also decreases at a high energy range around 4.8 eV.

The peaks in the spectra of \( \varepsilon_1 \), of all samples, indicate the possibility of the presence of space charges that may be arising from the strongly dipole-allowed O(2p)–Mn(3d) transition [22,23].

Figure 2. Complex refractive index of \( \hat{n} = n + ik \) versus energy \( E \), for bulk \( \text{La}_{0.7}\text{Ca}_{0.3-x}\text{K}_x\text{MnO}_3 \) (\( x = 0.00, 0.05 \) and 0.10).

Figure 3. Real \( \varepsilon_1 \) and imaginary \( \varepsilon_2 \) parts of the complex dielectric function constant for bulk \( \text{La}_{0.7}\text{Ca}_{0.3-x}\text{K}_x\text{MnO}_3 \) (\( x = 0.00, 0.05 \) and 0.10).
The ellipsometric angles $Y$ and $D$

In this work, the values of the angles $Y$ and $D$ are calculated from the following equations: \cite{24, 25}

$$\tilde{\varepsilon} = (n + ik)^2 = \sin^2(\theta) \left[ 1 + \tan^2(\theta) \left( \frac{1 - \tilde{\rho}}{1 + \tilde{\rho}} \right) \right]$$ (7)

where $\theta$ is the angle of incidence that is 70° in this work.

The Equation (7):

$$\tilde{\rho} = \frac{\sin^2(\theta) \left[ 1 + \tan^2(\theta) \right] - \tilde{\varepsilon}}{\sin^2(\theta) \left[ 1 + \tan^2(\theta) \right] + \tilde{\varepsilon}}$$ (8)

From Equation (8) it is possible to obtain both parameters only by using Equation (2). However, they can be obtained directly from Equation (2) and measured data, or in some ellipsometers they are output of measurements.

Tan ($Y$) and cos($D$) for La$_{0.7}$Ca$_{0.3-x}$K$_x$MnO$_3$ ($x = 0.00, 0.05$ and $0.10$), are shown in figure 4.

The spectra for all samples exhibit oscillations.

It is remarkable that curve maxima in Figures 2-4 exhibit a shift, as K content ($x$) increases, indicating that the band gap energy ($E_g$) changes with the change of $x$.

The oscillations form in the rhombohedral sample ($x = 0.10$) are different from the orthorhombic samples ($x = 0.00, x = 0.05$).

Light scattering can be described as the redirection of light that takes place when an electromagnetic (EM) wave (i.e., an incident light ray) encounters an obstacle or non-homogeneity (different grains size). As EM wave interacts with discrete particles, the electron orbits within the particle’s constituent molecules are perturbed periodically with the same frequency as the electric field of the incident wave. The oscillation or perturbation of the electron cloud results in a periodic separation of charge within the molecule, which called an induced dipole moment. The oscillating induced dipole moment is considered as a source of EM radiation, thereby resulting in scattered light.

To determine whether a surface is smooth or rough from the point of view of its interaction with light is somewhat arbitrary. Nevertheless, two main criteria can be found to define a smooth surface, namely the Rayleigh and the Fraunhofer criteria, respectively. Considering a plane monochromatic wave striking the rough surface at angle $\theta$ (Figure 5), one can calculate the phase difference $\Delta \varphi$ between two rays scattered from separate points on the surface:

$$\Delta \varphi = \frac{4\pi h}{\lambda} \sin \theta$$ (9)

where $h$ is the standard deviation of the roughness height related to a reference height (RMS roughness value), and $\theta$ is the local incident angle.

The Rayleigh criterion states that if the phase difference $\Delta \varphi$ between scattered waves is less than $\pi/2$ radians, than the surface may be considered as smooth, and is defined by \cite{26}.
According to the Rayleigh criterion, in our works part of the light scattering is due to surfaces roughness of our samples. Indeed, all RMS values are more than \( h_r \), where \( h_r = \frac{\lambda}{8 \sin \theta} \) (10). 

Optical conductivity

The real optical conductivity \( \sigma \) relates to imaginary parts complex constant \( \varepsilon_2 \) and is given by: [27-29]

\[
\sigma = \frac{\varepsilon_0 \omega \varepsilon_2}{4\pi}
\] (11)

where, \( \omega = 2\pi \nu \) is the angular frequency and \( \varepsilon_0 \) is the permittivity of vacuum.

Data in Figure 6 display the photon energy-dependent \( \sigma \) curves for \( \text{La}_{0.7}\text{Ca}_{0.3-x}\text{K}_x\text{MnO}_3 \) (\( x = 0.00, 0.05 \) and 0.10) samples at room temperature and paramagnetic semiconductor phase.

From Fig. 6 one can conclude that optical conductivity increases with K doping. The result may be explained by increasing of ac conductivity \( \sigma_a \) with K content similarly as in our previous work [7]. Furthermore, for all samples the curves reveals two peaks and minimum values.

The results presented in Figure 6 can be interpreted as follows: [30-36]

- The peaks at 3.3-3.5 eV for the samples \( x = 0.00 \) and \( x = 0.05 \) respectively, are the result of a hopping transition between JT-split \( \varepsilon_g \) levels within the parallel spin manifold, that due to the strong hybridization between the Mn( \( \varepsilon_g \)) and O-2p bands, and the strong local distortion of the Mn–O octahedra.
- The minimum in the 3.8-4eV range are due to \( \varepsilon_g\varepsilon_g \) transitions, i.e from a Mn\(^{3+}\) ion to either another Mn\(^{3+}\) ion or to a Mn\(^{4+}\) ion. In both cases, the promoted electron can end up being parallel or antiparallel to the \( t_2g \) core spin.
- The second peak centred at 4.4 eV (\( x = 0.00 \)), 4.4 eV (\( x = 0.05 \)) and 4.25 eV (\( x = 0.10 \)) is attributed to the Mn(3d \( \varepsilon_g \))–O(2p) transition.

In addition, the increase of the optical conductivity with K doping is explained by the decreasing of the three gaps indicated above.

CONCLUSIONS

We have investigated optical properties of \( \text{La}_{0.7}\text{Ca}_{0.3-x}\text{K}_x\text{MnO}_3 \) (\( x = 0.00, 0.05 \) and 0.10) powder samples at room temperature and in paramagnetic semiconductor phase. It has been found that K substitution in \( \text{La}_{0.7}\text{Ca}_{0.3}\text{MnO}_3 \) induces a structural transition from orthorhombic (Pnma) to rhombohedral (R3c) phase. The optical complex constants of the polycrystalline have been obtained by spectroscopic ellipsometry in the UV spectral band. After doping with K, the refractive index \( n \) increases and the maximum of \( n \) shifts to higher energies. However, the absorption edges of K-modified samples do not differ remarkably. The dependence of the complex refractive index or complex dielectric constant on energy reveals oscillations.

We have found that K substitution leads to an increase in the real part of the optical conductivity. The optical conductivity is dominated by three transitions:

- Transition between different \( \varepsilon_g \) levels in the same site.
- Interatomic \( \varepsilon_g \rightarrow \varepsilon_g \) transitions.
- Charge transfer transitions, O-2p \( \rightarrow \varepsilon_g \).

Furthermore, the transitions gaps are decreased by K substitution.
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References