

### THE EFFECT OF VARIOUS SILICATE-GLASS MATRIXES ON GOLD-NANOPARTICLE FORMATION

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Ion implantation of  $Au^+$  with energy of 1.7 MeV and a fluence of  $1 \times 10^{16}$  ions cm<sup>-2</sup> along with post-implantation annealing were used to synthesize metal nanoparticles in various types of silicate glasses. The objective of the work was the investigation of the effect of the host glass matrix on the principle properties of the nanoparticles. The prepared samples were characterized in terms of their chemical composition and the nucleation of gold nanoparticles. The largest nanoparticles having a radius of 1 - 25 nm and an irregular shape were detected in the glass BK7 containing boron trioxide in its structure. On the other hand, small nanoparticles (1 - 5 nm) with a spherical shape were found in glass with a structure similar to silica. The shape, size and distribution of the nanoparticles are strongly influenced by the divergence of the annealing temperature from the transformation temperature of the particular glass.

### INTRODUCTION

Diverse metallic precipitates have been synthesized and reported in various substrates. Numerous review articles reporting interactions between metals and substrates, such as the formation of nanoparticles, nanoparticle-composition modifications, and consequent optical-property changes have been published [1-4]. It has been shown that glasses containing the nanoparticles of copper, silver or gold are considered as prospective non-linear optical materials, because they exhibit strong non-linear third-order susceptibility  $\chi^3$  [5-7].

Ion implantation is the most versatile method for the preparation of metal nanoparticles in silica or glasses [8-11]. This method has many advantages – in particular, it allows to control easily the depth layer and the concentration of the implanted ions, as well as the nanoparticle formation. It was found that an increase in the ion-implantation fluence and energy leads to the formation of nanoparticles and facilitates their growth. Similar effect, especially for gold nanoparticles, is achieved by post-implantation annealing [12]. The damage of the matrix in the ion-impact area and the creation of defects are disadvantages of the high-energy ion-implantation process. These defects can also cause the absorption of optical radiation in the range of 5 - 7 eV [13, 14], but they can be eliminated by post-implantation annealing.

Besides the above-mentioned factors, the growth and the distribution of metal nanoparticles can also be influenced by the chemical composition of the glass matrix. In terms of nanoparticle formation, silica is still the most studied substrate. In this matrix, the formation of gold nanoparticles is affected by ion-implantation parameters – the threshold fluence for the spontaneous formation of gold nanoclusters in silica is  $2 \times 10^{17}$ ions·cm<sup>-2</sup> for 3.0 MeVAu<sup>+</sup> ions [15]. Below the threshold, post-implantation annealing can be used for nanoparticle creation. The critical temperature for the formation of metal nanoparticles correlates only roughly with the bulk metal melting point [15].

The formation of metal nanoparticles in various types of silicate glass is much less understood. Moreover, a direct comparison of the glass-matrix influence on the resulting non-linear characteristics is complicated, as, the same measurement parameters have to be always maintained. So far, the baseline study has been done for example on glass with various alkali concentrations [16-19]. It has been found that the content of sodium in the matrix affects the movement of the implanted ions during post-implantation annealing. Ion exchange was suggested as the mechanism of the movement of implanted silver ions through the glass matrix. Furthermore, studies on borate glasses have also been performed [20]. The superior optical properties of the borate glass matrix have been revealed in a slow relaxation process.

Here, we are going to build on our previous work [21], where we found that the penetration of the implanted ions is influenced by the structure of particular glass. Four representative types of glass have been selected. These glasses differ in the cross-linking degree of the glass matrix [22]. The maximum cross-linking degree is represented by silica  $(A_{500}/A_{1000} \sim 9)$ . The BK7 glass contains more components than silica glass and models the least cross-linked structure ( $A_{500}/A_{1000} \sim 2$ ). Ion implantation of Au<sup>+</sup> ions with energy of 1.7 MeV and a fluence of  $1 \times 10^{16}$  ions cm<sup>-2</sup> along with postimplantation annealing were used to synthesize metal nanoparticles in the selected silicate glasses. The TEM analysis showed that the formed nanoparticles varied not only in the shape and the size but also in the distribution. It was also proved that boron trioxide when present in the glass structure significantly supports the nucleation of the nanoparticles (the formation of clusters), which is in good agreement with the findings concerning the coloration of glasses [23]. The utility of the Raman spectroscopy for the characterization of the changes in the structure of glass after the ion implantation was also demonstrated in our previous work [22]. Moreover, using the Z-scan technique, it can be shown that the nanoparticles produced in silicate glasses exhibit substantial two-photon absorption (TPA). We have demonstrated that the TPA coefficient differs depending on the size, the shape, and the depth distribution of the metal nanoclusters and the structure and the composition of glass substrates [24].

### EXPERIMENTAL

In this study, we used several silicate glass substrates with different chemical compositions (see Table 1), namely the specially designed glass type GIL49 and the glass B (made at the Glass Institute Hradec Kralove Ltd., Czech Republic), commercially available BK7 and silica glass. The selected glass substrates varied in the concentrations and types of network formers (SiO<sub>2</sub>,  $B_2O_3$ ) and network modifiers (alkali oxides).

Pre-cleaned glass substrates were implanted with Au<sup>+</sup> ions at energy of 1.7 MeV at the Tandetron accelerator in the Nuclear Physics Institute, Academy of Sciences of the Czech Republic, with an ion fluence of  $1 \times 10^{16}$  ions cm<sup>-2</sup>. The as-implanted glasses were subsequently annealed in air at temperatures of 600°C for 5 hours.

The concentration depth profiles of the implanted atoms were analyzed by Rutherford Backscattering Spectrometry (RBS) using a 2.0 MeV He<sup>+</sup> ion beam. The incoming angle of the ions during the RBS analysis was 0°, while the backscattered ions were detected under a scattering angle of 170°. The simulated profiles of the implants were provided by the SRIM 2008 code [25]. RBS analysis makes it possible to achieve a depth resolution better than 10 nm. The sensitivity of the analysis in this particular case was about 0.5 atomic %.

The properties of the nanoparticles were studied with Transmission Electron Microscopy (TEM) using a JEOL 2000 FX operated at 200 kV and equipped with a Link AN 10000 energy dispersive X-ray analyser (EDAX). Thin foils of the glass samples for the TEM analysis were prepared by a special thinning process using a dimple grinder and a precision ion polishing system 'PIPS' (by Gatan Inc.). The size distribution of nanoparticles was determined from TEM micrographs.

### RESULTS

## The characterization of the distribution of Au ions in the as-implanted samples

The concentration depth profiles of the implanted Au<sup>+</sup> ions were measured using the RBS method and compared to the simulated Au depth profiles by SRIM 2008. The parameters characterizing Au distribution in glass obtained from the experimental depth profiles (supposing Gaussian distribution, we extracted the mean value  $R_P$  and the standard deviation  $\Delta R_P$ ) are shown in Table 2, in addition to the density  $\rho$  and the molar-volume  $V_m$  values for the pertinent type of glass. It is evident that under the above-mentioned implantation conditions, the gold particles were distributed in a subsurface layer of the approximate thickness of 110 nm. The maximum Au concentration (0.5 at. %) was found at a depth around 570 nm, see the Au implantation depth profile in the silica glass in Figure 1.

In Table 2, we can see that the mean value  $R_P$  is

Table 1. The composition of used glasses (at. %)

Type of glass	$SiO_2$	$B_2O_3$	Na <sub>2</sub> O	K <sub>2</sub> O	Other components
BK7	58	18	14	9	As <sub>2</sub> O <sub>3</sub> , BaO
GIL49	63	_	24	0.5	Al <sub>2</sub> O <sub>3</sub> , CaO, MgO
Glass B	88	_	9	_	Al <sub>2</sub> O <sub>3</sub>
Silica glass	100	_	—	_	_

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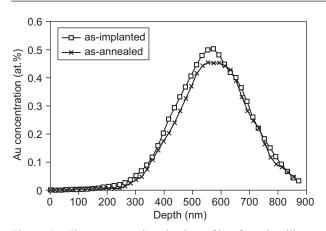


Figure 1. The concentration depth profile of Au in silicate glasses; Au<sup>+</sup> ions implanted with energy of 1.7 MeV and a fluence of  $1 \times 10^{16}$  ions cm<sup>-2</sup> (the squares) and then annealed at 600°C, 5 h (the crosses).

related to glass density, i.e., the lower  $R_p$  value was found in the glass with higher density. This trend is in accordance with the SRIM simulation, although the experimentally determined parameters,  $R_P$  and  $\Delta R_P$ , are higher than the simulated parameters (about 10 % for glass GIL49 and for 20 - 30 % in case of other glasses). The experimentally determined broader dopant distribution is often observed in case, when the ions penetrating the solid-state material have the higher experimental energy straggling than the simulated ones. This fact is connected to possible non-homogeneity of the glass and/or ion modified structure of the glass matrix that can influence the penetration depth in real samples. A similar, but not so significant, effect has also been observed in glasses implanted with Ag<sup>+</sup> ions [21, 27].

Good agreement between growing trends of the

Table 2. A comparison of the  $R_p$  and  $\Delta R_p$  values obtained from the profiles measured by RBS and extrapolated by the Gaussian function in various types of glass, for which the densities  $\rho$  and the molar volumes  $V_m$  are also shown.

Type of glass	ρ	$V_{\rm m}$	RBS	
	[g·cm <sup>-3</sup> ]	[cm <sup>3</sup> ·mol <sup>-1</sup> ]	$R_P$ [nm]	$\Delta R_P [nm]$
GIL49	2.49	23.8	421	102
BK7	2.50	25.7	482	112
Glass B	2.32	26.3	560	117
Silica glass	2.20	27.3	569	128

molar-volume values and the projected ranges of the implanted  $Au^+$  ions is shown in Table 2. It is obvious that not only the glass density, but also other factors influence the projected range of the implanted ions. A significant correlation of the projected ranges with the structure of glass as well as with the strength of the chemical bonds in the glass structure can be expected.

Concerning the nucleation of gold nanoparticles

in glasses after the ion implantation, no absorption bands in the UV-VIS region indicating the nanoparticle inherency have been observed between 415 and 560 nm at any type of the as-implanted glass substrates.

We have also simulated the defect evolution caused by the gold implantation into the glass matrix by the SRIM code. There are two known mechanisms of defect creation: (i) electronic excitation and (ii) nuclear collision. The former mechanism leads to the excitation and ionization of molecules and consequently to the formation of a large number of mobile radical species. Nuclear collisions are accompanied by a massive breakage of chemical bonds due to the displacement of atomic nuclei, and by a transfer of rather high energy to the glass matrix in the form of phonons. In our case, both mechanisms act simultaneously and their additive contribution to the total energy losses of the incoming ion is characterized by the stopping power ( $S_e$  for the electronic mechanism and  $S_n$  for the nuclear one, respectively), which represents the change in the energy

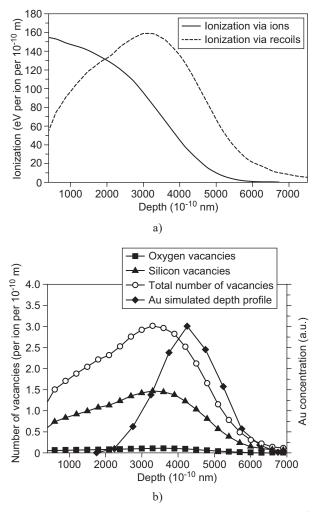


Figure 2. SRIM simulations of SiO<sub>2</sub> implanted by 1.7 MeV Au<sup>+</sup> ions; a) Comparison of energy losses via electronic stopping (ionization of the target atoms) and nuclear stopping (recoiling of target atoms); b) Number of displaced atoms (vacancies) produced per 1 Å ( $10^{-10}$  m) depending on the depth.

of ion caused by its interaction with the glass matrix per path length and is usually expressed in eV/Å. The values of  $S_{\rm e}$  and  $S_{\rm n}$  depend on the energy and the mass of the implanted ion as well as on the glass composition, with the energetic light ions depositing the energy mainly via electronic excitations and the energy transfer from low-energy heavy ions being realized predominantly by nuclear collisions. As the implanted ion slows down, the contribution of each mechanism to the overall energy loss substantially changes. The depth distribution of glass target ionization via the implanted ions and via the recoiled matrix atoms are presented for Au<sup>+</sup>, 1.7 MeV in SiO<sub>2</sub> in Figure 2a. The production of total displaced atoms, oxygen and silicon vacancies produced per one ion at a length of 1 Å is also depicted (see Figure 2b). It is clear that the energy losses in glasses implanted with Au ions occur mainly via nuclear stopping (e.g. for silica glass, the ratio  $S_e/S_n$  is about 0.6). It can be seen that Au ions cause prevailing number of Si vacancies and defects during recoiling of the host matrix atoms in the implanted layer. When the creation of defects was simulated in other glasses, no significant differences were found. In all glasses, the mechanism of nuclear collisions was predominant and the depth of damage was equivalent to the measured concentration profile of Au.

# The characterization of Au particles in the as-annealed samples

After  $Au^+$  ion implantation, all of the types of investigated silicate glass were annealed under the same conditions, i.e., for 5 hours at 600°C, in the ambient atmosphere. The temperature of annealing was chosen according to our previous study of the annealing of the glass GIL49 [26]. Using that temperature, the GIL49 glass became stained red, which indicated the presence of the gold nanoparticles in the glass specie. On the other hand, at lower temperatures, no colors were observed, whereas higher temperatures induced damage to the glass matrix.

The change of the concentration depth profile in silica glass is shown in Figure 1. After five hours of annealing at 600°C, no significant shift of the concentration profile was noticed. The integral amount of gold slightly decreased after post-implantation annealing (by 7 %). In other glass matrixes, the shifts of the Au concentration maximum remained negligible (max. 40 nm) and also the change of the integral amount was below the detection limit of the RBS method.

The color of the glass samples changed during the annealing from colorless to red, as well as the measured absorption spectra [24], indicating gold nanoparticle formation. An evaluation of the size, the shape and the distribution of the nanoparticles was performed by TEM. Three types of the as-annealed samples showing substantial absorption in the UV-VIS region were examined. The TEM analysis of the silica glass was not performed, because the glass did not become red after annealing; as no absorption in the UV-VIS region was detected in this glass, we assumed that no gold nanoparticles were created. In other glasses, however, the nanoparticles were apparently formed. The TEM images are shown in Figure 3, where the bright areas in the micrograph represent the glass while the dark dots represent the nanoparticles of gold. The depth of the maximum Au concentration determined from RBS is in good agreement with the depth at which nanoparticles were observed by TEM, see Figure 3 (left panels).

The statistical distribution of the Au-nanoparticle size in the mentioned glass types was provided using the image processing procedure [28] and is presented in Figure 3 (right panels). It is clear that the size and the shape of nanoparticles in various glass types are different, although the preparation conditions remained unchanged. The largest nanoparticles with an average diameter ranging from 1 to 25 nm were noticed in the glass BK7.

On the other hand, small nanoparticles (1-5 nm) were found in the glass B. The nanoparticles observed in the glass B and glass GIL49 had a spherical shape, whereas the nanoparticles observed in the glass BK7 had an irregular shape, cf. Figure 3 left. Moreover, the smallest nanoparticles observed in the glass B were distributed in a relatively broad layer of 350 nm while the largest nanoparticles in the glass BK7 were confined to a narrow layer of approximately 100 nm thick.

### DISCUSSION

A comparison of the concentration depth profiles of Au implanted into various types of glass has shown that Au<sup>+</sup> ion range depends primarily on the density of the glass matrix. The asymmetrical shape of the same Au-concentration depth profile is a consequence of local changes in glass density caused by ion implantation [14, 22]. It may also explain why the measured concentration depth profile differed from the SRIM simulation, which does not include the non-homogeneity of the glass or local structural changes caused by the ion implantation.

The defects caused mainly by the mechanism of nuclear collisions in the implanted layer, as well as their subsequent healing, are likely to differ in various glasses. A simulation of these small differences is impossible. More about this issue is suggested in our detailed study of Raman spectra which were scanned at various layer depths (see [22]). The dissimilar degree of depolymerization mainly in silica glass as compared to glass containing boron, as well as the different behavior of glass matrixes during post-implantation annealing was noticeable.

The different extent of the healing of the glass structure during annealing may be consistent with

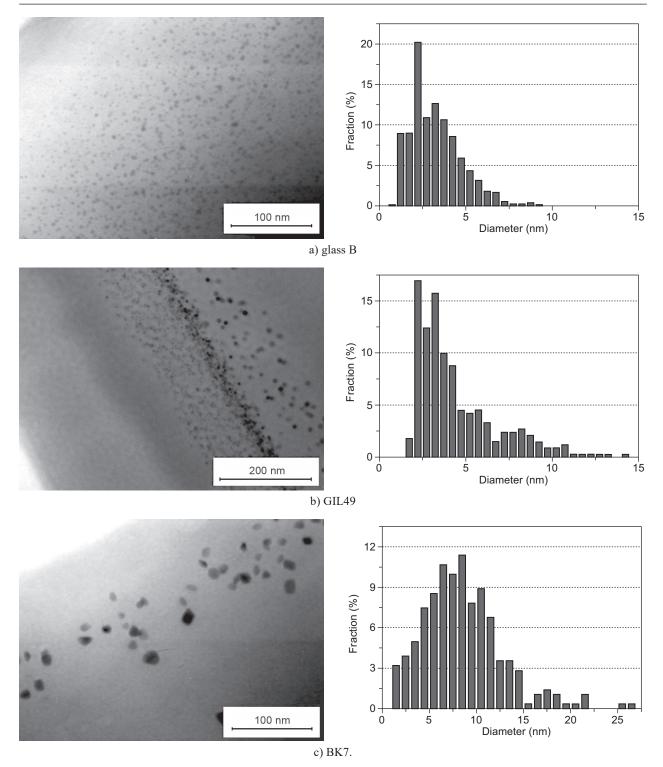


Figure 3. TEM images of Au implanted into various silicate glasses and the statistical distribution of the size of the gold nanoparticles: a) glass B, b) GIL49; c) BK7.

annealing temperature if it is close to the transformation temperature  $T_{\rm g}$  of the particular glass. It is a well-known fact that the main changes of the glass network occur especially in the vicinity of the  $T_{\rm g}$ . The BK7 was the only glass that was annealed above the transformation temperature ( $T_{\rm g}$  is approx. 560°C). The formation of a brand-new glass network, entirely different from the

original one, was probably a result of the annealing of BK7.

The remaining three glasses were annealed around or below their transformation temperature. As the  $T_{gs}$ of those glasses are different, the course of the recovery was not the same either. Consequently, we could notice the differences with the concentration profile depth of gold and the sizes of the nanoparticles. The transformation temperature increases in the order BK7  $\rightarrow$  600°C ~ GIL49  $\rightarrow$  glass B  $\rightarrow$  silica glass. The experiment confirmed that the greater was the difference of the annealing temperature from the  $T_{\rm g}$ , the slower was the process of the recovery of the glass matrix (i.e., the rate, or the intensity, of the new bond formation). This may also explain why no gold nanoparticles were detected in the silica glass and only small particles were detected in a broad layer in the glass B. During annealing of the glass B, new bonds were created to a much lesser extent (as compared with a similar process in the BK7 glass), which probably led to an easier migration of the Au in the glass matrix, thus lowering its local concentration. After the implantation, the glass GIL49 was strongly damaged in comparison with the glass B or glass BK7 [22]. As a consequence, during annealing of GIL49, new bonds were formed to a greater extent and simultaneously the gold atoms migrated through the glass matrix and created nanoparticles of various sizes.

#### CONCLUSION

In this study, significant relations between the structure of glass, the migration of the implanted ions and the formation of metal nanoparticles in glasses during post-implantation annealing were found. After applying the Au<sup>+</sup>-ion implantation with energy of 1.7 MeV into different types of silicate glasses, it was demonstrated that the implanted-ion range depends primarily on the density of glass and, to a lesser extent, also on the structure of glass. In accordance with the previous results, it was confirmed that the projected range is shorter in glasses with higher cross-linking as well as when higher implantation energy is employed. Moreover, the shape, the size and the distribution of nanoparticles are strongly influenced by the current temperature of the annealing in the sense that the bigger the divergence of the annealing temperature from the transformation temperature of the particular glass is, the smaller are the forming nanoparticles and vice versa.

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